

148527-1

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUL 13 2004

Applicant: Gascoyne, et al.

Serial No.: 10/709,208

Filing Date: April 21, 2004

For: AUTHENTICATION SYSTEM, DATA  
DEVICE, AND METHODS FOR USING  
THE SAME) Group Art Unit: NYA  
)  
) Examiner: NYA

OFFICIAL

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

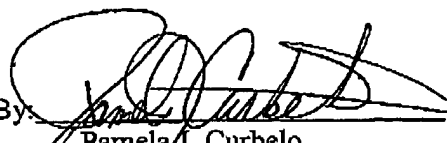
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By:   
Pamela J. Curbelo  
Registration No. 34,676Date: July 13, 2004  
Customer No.: 23413  
Telephone: (860) 286-2929

**INFORMATION DISCLOSURE CITATION**  
*(Use several sheets if necessary)*

Docket Number (Optional)

148527-1

Application Number

10/709,208

Applicant(s)

Gascoyne, et al.

Filing Date

April 21, 2004

Group Art Unit

2876

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	US 6,707,539 B2	03/16/2004	Selinfreund, et al.	356	71	10/18/2002

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-A820

(also form PTO-A449)

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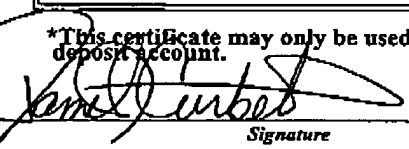
Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

SHEET 1

OF 1



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 148527-1
In Re Application Of: Gascoyne, et al.			
Serial No. 10/709,208	Filing Date April 21, 2004	Examiner NYA	Group Art Unit NYA
Title: AUTHENTICATION SYSTEM, DATA DEVICE, AND METHODS FOR USING THE SAME			
Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:			
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);			
OR			
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 148527-1
In Re Application: Gascoyne, et al.			
Serial No. 10/709,208	Filing Date April 21, 2004	Examiner NYA	Group Art Unit NYA
<b>AUTHENTICATION SYSTEM, DATA DEVICE, AND METHODS FOR USING THE SAME</b>			
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.			
<input type="checkbox"/> Charge the amount of _____			
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<input checked="" type="checkbox"/> Charge any additional fee required.			
<b>Certificate of Transmission by Facsimile*</b>		<b>Certificate of Mailing by First Class Mail</b>	
<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Ft 703) 872-9306</p><p>July 13, 2004 (Date)</p><p style="text-align: center;"> Signature</p><p>Sonia Mancini</p><p style="text-align: center;">Typed or Printed Name of Person Signing Certificate</p></div>		<div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p style="text-align: center;">Signature of Person Mailing Correspondence</p><p style="text-align: center;">Typed or Printed Name of Person Mailing Certificate</p></div>	
<p><b>*This certificate may only be used if paying by deposit account.</b></p> <p> Signature</p> <p>Pamela J. Curbelo Registration No. 34,676 Customer No. 23413 (860) 286-2929</p>		<p>Dated: July 13, 2004</p>	
CC:			